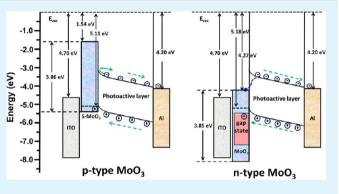
Sulfur-Doped Molybdenum Oxide Anode Interface Layer for Organic Solar Cell Application

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ABSTRACT: Efficient organic solar cells (OSCs) based on regioregular of poly (3-hexylthiophene):fullerene derivative [6,6]-phenyl-C₆₁butyric acid methyl ester composites have been fabricated on indium tin oxide (ITO) coated glass substrates by using a sputtered sulfur-doped molybdenum oxide $(S-MoO_3)$ film as anode interface layer (AIL). With the help of X-ray photoelectron spectroscopy and ultraviolet photoelectron spectroscopy, we find that oxygen flow ratio control can modulate the amount of sulfur doping into MoO₃, then further tune the Mo⁺⁴/Mo⁺⁵/Mo⁺⁶ composition ratios, Fermi level, electron affinity, valence band ionization energy and band gap of MoO3. A partially occupied Mo 4d-bands of Mo⁵⁺ and Mo⁴⁺ states modulated by sulfur doping are the main



factor which influences the valence electronic structure of S-MoO₃. These orbitals overlap interrelation push the valence band close to S-MoO₃'s Fermi level, thus make it into a p-type semiconductor. S-MoO₃ with smaller ionization energy and electron affinity is better suitable as an efficient AIL. On the basis of these AILs, a photovoltaic power conversion efficiency up to 3.69% has been achieved, which is 12% higher than that in pure MoO_3 AIL case. The result thus shows that sulfur doping is a useful method to modify anode interface layer for improving the hole-transport properties of MoO₃, which can improve the device performances.

KEYWORDS: MoO₃, sulfur doping, work function, anode interface layer, organic solar cell

rganic solar cells (OSCs) based on blends of conjugated polymers and fullerene derivatives have attracted much attention in the past two decades because of their potential as low-cost, lightweight, and large-area flexible photovoltaic devices.^{1,2} The rapid progress in the organic photovoltaic field has made OSC a viable source of renewable energy, as power conversion efficiencies (PCEs) exceeding 10% have been reported.³ A vast number of studies involve a blend of regioregular poly (3-hexylthiophene) (P3HT) and [6, 6]phenyl-C₆₁-butyric acid methyl ester (PCBM) for which solar cell efficiencies up to \sim 5.0% have been realized.⁴ Traditional OSC is composed of a transparent anode, an anode interface layer (AIL), a photoactive layer (PAL), and a top cathode. AIL must have high optical transparency, good chemical stability, a large ionization potential, and good electron blocking capability.⁵ In the conventional solar cell, poly (3, 4-ethylenedioxythiophene):poly (styrene-sulfonate) (PEDOT:PSS) is used as AIL to smooth the surface and modulate work function (WF) of the indium tin oxide (ITO) anode.^{2,6} However, PEDOT:PSS has been shown to have acidic nature, which results in degradation mechanisms and reduces device stability.⁷ Therefore, efforts to replace PEDOT:PSS with transition metal oxide semiconductors, such as nickel oxide,^{5,8} molybdenum oxide (MoO_x) ,^{2,9-11} vanadium oxide,^{9,12} chromium oxide,¹³ and tungsten oxide,¹⁴⁻¹⁶ are currently received increasing attention in OSC.

Among the metal oxide AILs used in OSCs, MoO₃ is a promising candidate because of its relatively good holemobility, environmental stability, and transparency. But the underlying physical mechanisms pertaining to their electronic structure remain somewhat undefined. MoO_x thin films had initially been considered to have the valence band edge at 5.4 $eV_{,}^{9-1'1}$ the conduction band edge at 2.3 $eV_{,}^{17}$ and a WF of 5.2 eV,¹⁸ indicating MoO_3 has a p-type conductive mechanism. However, when evaporated, MoO₃ possesses a Fermi level that is pinned at 6.86 eV, an electron affinity (EA) of 6.70 eV, and ionization energy (IE) of 9.68 eV,¹⁹ which indicates that it is an n-type material. And the high EA enables the highest occupied molecular orbital (HOMO) of many organic semiconductors to possess a sufficient density of states overlap with the empty MoO₃ conduction band states to allow the ground state holecharge of organic semiconductor transferring to MoO₃,

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rendering it p-doped.^{20,21} Moreover, the local electron depletion of the donor and acceptor phases at the interface would in principle act to reduce back-contact recombination of the electrons from fullerene acceptors, while improving holecollection from the donor materials at this interface. Such a situation may explain why n-type MoO3 modified anodes have been so successfully used as a AIL in OSCs.^{22,23} Because of the significantly deeper valence band edge of MoO_x, Wong's group²⁴ considered that holes are not transported to ITO through the valence band of MoO_x. Instead, holes are transported from the MoO_x/organic interface to ITO through MoO_x gap states. This hole-selective behavior can be explained by invoking band bending at the $MoO_{v}/organic$ interface which could partially block electrons. Therefore, an n-type semiconductor is not a good AIL, and a p-type semiconductor is an essential but not necessary requirement for AIL.

Hole-mobility is typically much lower than that of electron in OSC. It is thus very important to let hole transport smoothly through the AIL and its related interfaces effectively for improving the efficiency of OSC. MoO_x film is a multivalent complex with Mo⁶⁺, Mo⁵⁺, and Mo⁴⁺ oxidation states.^{19,24} And many defects (especially surface defects) in the multivalent complex could have very strong adsorption properties of water and oxygen, which is not beneficial to the stability of OSC. Therefore, many research groups have tried to improve the hole-mobility and stability of the inorganic oxide AIL from every aspect. Aside from various fabrication methods, such as thermal evaporation,^{9,11} sol-gel method,²⁵⁻²⁷ and sputtering deposition,²⁸ being employed to prepare the AILs, some groups attempt to increase the electrical conductivity of AIL by inserting a thin metal and make AIL into a sandwich structure without sacrificing their transmittance.²⁹⁻³¹ And Shao et al. used a blend of $\rm \tilde{M}oO_3$ and PEDOT:PSS as AIL to improve PCE and stability of OSC.³² Moreover, Ghosh's³³ and Fang's²⁸ groups exploited the treatment of the metal oxide AIL surface by plasma and ultraviolet (UV) ozone treatment to reduce the surface defect of AIL film and to make better AIL contact with PAL, respectively.

At the same time, it is also found that by nitrogen doping of amorphous chromium oxide (ACO) AIL, water dissociation and hydroxylation on ACO surface can be prevented, and thus improved hole-mobility and stability of OSC.^{13,34} This provides an enlightenment for us to regulate the constituents of molybdenum oxidation states in the film by doping, and then to further adjust its energy level, and make it match the polymer (or the anode) energy level well, which could effectively reduce the surface defects and form S–Mo–S band^{35,36} to improve the mobility of charge carrier in the MoO_x film.

Although n-type MoO_x has been successfully used as AIL of OSCs, hole recombination occurs inevitably in the $MoO_x/$ organic interface due to smaller band bending potential barrier at the interface. If p-type MoO_x can be obtained, carrier recombination at the interface can be effectively blocked. The fabrication of p-type semi-conductive sulfur doped MoO_3 films (S- MoO_3) and its application as AIL of OSC are seldom reported. In this paper, we report the fabrication and characterization of OSCs based on S- MoO_3 as AIL by the radio frequency (RF) magnetron sputtering method. Transparent S- MoO_3 films have been fabricated in different oxygen flow ratio $f(O_2)$ ($O_2/(O_2+Ar)$) on ITO and glass sheet substrates. PCE of OSCs largely depends on $f(O_2)$, and OSC

with S-MoO₃ shows 12% improvement in PCE compared to that in the device with MoO_3 only AIL.

EXPERIMENTAL SECTION

S-MoO₃ films were prepared by a RF magnetron sputtering system using a ceramic MoS₂ (99.9% pure) target in Ar and O₂ atmosphere. The chamber pressure was pumped down to $<3 \times 10^{-4}$ Pa and backfilled with the sputtering gas to a pressure of 1.0 Pa. The ITO and glass sheet substrates were cleaned with successive rinses in ultrasonic baths of acetone, ethanol, and deionized water and blown with dry N_2 before deposition. Both high-purity Ar (99.999% pure) flow rate and O2 (99.999% pure) flow rate were modulated by mass-flow controllers during deposition. Mixtures of Ar and O_2 with different $f(O_2)$ of 0, 5, 10, 20, 30, and 40% were introduced into chamber by mass-flow controllers during deposition. The total gas flow rate retained at 10 sccm. The substrate temperatures were kept at 423 K because the decline of ITO photoelectric properties resulted from higher substrate temperature. Pre-sputtering was performed to obtain a steady-state sputtering condition. The deposition rate (~0.5 Å/s) as well as the nominal film thickness was monitored with a quartz crystal thickness monitor (TM-400, Maxtek, USA). Using a surface profilometer (FTS2-S4C-3D, Taylor Hobson, UK), we checked the film thicknesses and obtained good agreement. The distance between the target and the substrate was about 7 cm and the target was located beneath the substrates. The deposition power was kept at 40 W and the thickness of the films was controlled by the sputtering time. A reference solar cell with ca. 10 nm MoO₃ as AILs was fabricated, which was deposited according to the parameters in the reference.³⁷

X-ray photoelectron spectroscopy (XPS) and ultraviolet photoelectron spectroscopy (UPS) were performed using a XPS/UPS system (Thermo Scientific, ESCLAB 250Xi, USA). All the films were sputtering-cleaned to remove atmospheric contamination in the XPS chamber for approximately 20 s by lower energy of Ar⁺, and the Ar⁺ gun was operated at 0.5 kV at a pressure of 1×10^{-7} Pa. The vacuum pressure of the analysis chamber was better than 2×10^{-8} Pa. For XPS, survey scans to identify overall surface composition and chemical states were performed using a monochromated Al K α X-ray source (hv= 1486.68 eV), detecting photoelectrons at 150 eV pass energy and a channel width of 500 meV. High-resolution scans to identify bonding states were performed at 20 eV pass energy and 50 meV channel width. The surface carbon signal at 284.6 eV was used as an internal standard. UPS was carried out using He I radiation at 21.22 eV from a discharge lamp operated at 90 W, a pass energy of 10 eV, and a channel width of 25 meV. Conductive, grounded samples were run without charge compensation. A -9 V bias was applied to the samples in order to separate the sample and analyzer low-kinetic-energy cutoffs. The transmittance of the S-MoO₃ films was measured by a UV-Vis-NIR spectrophotometer (CARY5000, Varian) in the 200-800 nm wavelength range at RT. The morphology of the S-MoO₃ films was characterized by atomic force microscopy (AFM SPM-9500J3, Shimadzu, Japan) with the contact mode (scanning tip: single-crystal Si_3N_{42} spring constant: 0.02 N m⁻¹).

Regioregular P3HT (Rieke Metals, Inc.) and PCBM (Nano-C) were used for PAL. Thin film OSCs were prepared by the blends of P3HT:PCBM (1:1 by weight) in chlorobenzene. The devices were prepared on ITO glass ($R_{\text{sheet}} = 10 \ \Omega/\text{sq}$) substrate. S-MoO₃ films were then deposited by RF sputtering. Subsequently, the blends were deposited through spin-casting at 1000 rpm at room temperature for 30 s. Finally Al electrode was deposited via thermal evaporation with approximately 100 nm thick and the whole device was annealed at 423 K for 7 min. The active layer is 0.1 cm². Photovoltaic measurement was conducted illuminating the devices under a solar simulator with AM1.5G filter. The illuminated current density-voltage (J-V) characteristics of the OSCs were examined using a Keithley 2400 sourcemeter. The simulated light intensity was adjusted to 100 mW/ cm² calibrated with a Thorlabs optical power meter. The corresponding incident photo-current efficiency (IPCE) spectrum was measured with a QE/IPCE Measurement Kit system (Newport, USA).

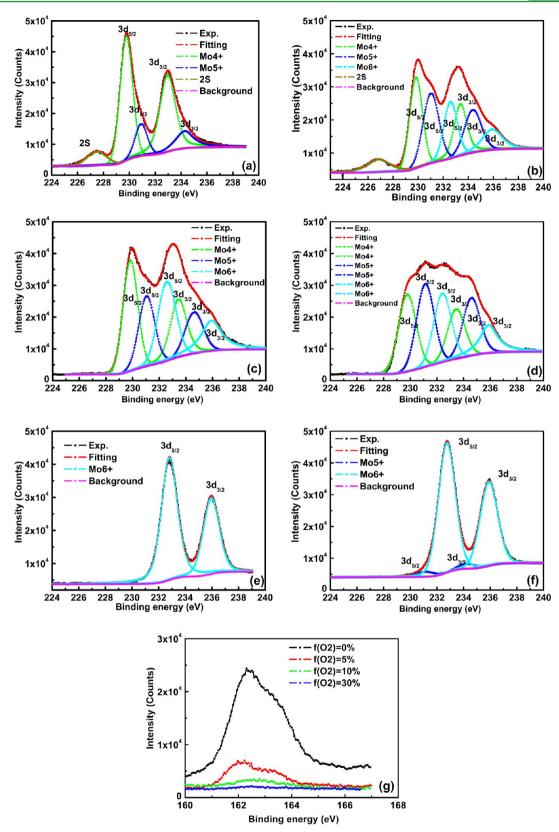


Figure 1. XPS spectra of the Mo 3d core level peaks observed for S-MoO₃ films deposited on ITO substrate with different $f(O_2)$ at 423 K: (a) 0, (b) 5, (c) 10, (d) 20, and (e) 30%, and (f) referenced MoO₃ film. (g) XPS spectra of the S 2p core level peaks.

RESULTS AND DISCUSSION

 $S-MoO_3$ films are formed by a RF magnetron sputtering system using a ceramic MoS_2 target in various oxygen atmospheres. To study the surface change $S-MoO_3$ films, XPS analysis was performed on the samples on ITO substrate with $f(O_2) = 0$, 5, 10, 20, and 30% (Figure 1a–e) at 423K. The XPS spectra have been fitted by Gaussian-Lorentzian curves corresponding to peaks of Mo 3d. The relative contents of the various valence

Table 1. Summary of XPS Analysis of Valence States, Peak Position, and Relative Content Present in S-MoO₃ Films, Which Is According to the Mo 3d Core Level Peaks^{*a*} for the Deposited on ITO with Different $f(O_2)$ at 423 K, and Data of the Referenced MoO₃ Films Prepared According to the Parameters in the Literature³⁷

		Mo ⁴⁺		Mo ⁵⁺		Mo ⁶⁺			
<i>f</i> (O ₂) (%)		3d _{5/2}		3d _{3/2}	3d _{5/2}	3d _{3/2}	3d _{5/2}	3d _{3/2}	$VCEP^b$
0	position (eV)	228.8	232.0		230.0	233.4			
	relative content (%)		74.5		25	.5			4.26
5	position (eV)	229.8		233.3	231.0	234.2	232.5	235.8	
	relative content (%)		40.6		33	.8	25	.6	4.85
10	position (eV)	229.8		233.4	231.1	234.6	232.6	235.9	
	relative content (%)		39.1		27	.3	33	.6	4.95
20	position (eV)	229.8		233.5	231.1	234.6	232.4	235.8	
	relative content (%)		33.4		37	.6	29	.0	4.96
30	position (eV)						232.8	236.0	
	relative content (%)						10	00	6.00
MoO ₃	position (eV)				231.1	234.2	232.8	235.9	
	relative content (%)				5.	4	94	.6	5.94
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^{*a*}The error of these peaks is ± 0.2 eV. ^{*b*}VCEP represents the statistic value of the AIL cations' electro-positivity, and it is the product of valence and the corresponding content of molybdenum oxidation states.

states of molybdenum are estimated from the cover of the Gaussian curves. The spin-orbit doublet with peaks at 229.8 and 233.0 eV were consistent with the previously reported results about $MoS_2^{38,39}$ and the spin-orbit doublet with peaks at 232.8 and 235.9 eV, associated with Mo cations in the higher oxidation state (Mo⁶⁺) have been reported in the literature, which agree well with the observed main peak energies in the spectra shown in Figure 1a and Figure 1e. When $f(O_2) = 0\%$, the XPS spectrum shows progressively stronger and narrower Mo^{4+} $3d_{5/2}$, Mo^{4+} $3d_{3/2}$, S^2 $2p_{3/2}$, S^2 $2p_{1/2}$, and S 2s peaks at 229.8, 233.0, 162.3, 163.6, and 227.5 eV(shown in Figure 1a and Table 1), respectively. And a dominant Mo 3d doublet is observed with a 3.2 eV spin-orbit splitting between the Mo4+ $3d_{3/2}$ and Mo⁴⁺ $3d_{5/2}$, which is in agreement with the result reported by Fang's group.³⁸ These suggest that MoS₂ has been formed in the film deposited with $f(O_2) = 0\%$ at 423 K, whose content in the film is 74.5%. A 25.5% Mo⁵⁺ in the film may be oxidized to Mo₂O₅ by the oxygen atom of the ceramic MoS₂ target or the work gas during deposition. A 3.4 eV spin-orbit splitting between the Mo⁵⁺ $3d_{3/2}$ 231.0 and Mo⁵⁺ $3d_{5/2}$ 234.4 eV is bigger than 3.1 eV that reported by Greiner,¹⁹ which could be due to the generation of some oxygen deficiencies.

The study of density functional theory has indicated MoS₂ is highly susceptible to oxidation by atomic oxidation, and the lower coordinated Mo sites are more susceptible to oxidation than the more highly coordinated Mo sites.⁴¹ With the oxygen content in the work gas during deposition increasing to 5%, there are three molybdenum oxidation states. And the narrower doublet Mo 3d peaks at 229.8 and 233.3 eV correspond to $Mo^{4+} 3d_{5/2}$ and $Mo^{4+} 3d_{3/2}$ peaks (Figure 1b). And there is ca. 0.8 eV for S 2s peak (226.7 eV) to shift to lower binding energy. However, the intensity of S 2p peak decreases (Figure 1g). This shows that Mo⁴⁺ peaks belong to MoS₂, and its content decreases to 40.6%. The broader Mo 3d peaks at 231.0, 234.2, 232.5, and 235.8 eV correspond to Mo $^{5+}$ 3d and Mo $^{6+}$ 3d peaks, which belongs to MoO_x and the contents are 33.8 and 25.6%, respectively. These indicate that part of MoS₂ decomposes to MoO_x . With $f(O_2)$ increasing to 10%, broader and broader Mo 3d peaks can be observed considerably. The Mo 3d XPS spectrum is fitted to the doublet peaks at 229.8 and 233.4 eV, corresponding to $Mo^{4+} 3d_{5/2}$ and $Mo^{4+} 3d_{3/2}$ peaks. The peaks at 231.1 and 234.6 eV are corresponding to Mo⁵⁺

 $3d_{5/2}$ and Mo⁵⁺ $3d_{3/2}$ peaks, and the doublet peaks at 232.6 and 235.9 eV belong to Mo⁶⁺ with the spin-orbit splitting increasing to ca. 3.5 eV, which reveals that there are three molybdenum oxidation states, 39.1% $\mathrm{Mo}^{4+},$ 27.3% $\mathrm{Mo}^{5+},$ and 33.6% Mo^{6+} in the film (Figure 1c). It is worthwhile to note that the intensity of S 2p peak decreases drastically (Figure 1g). This is shown that most MoS_2 decompose to MoO_2 , and a small amount of sulfur has been doped in the MoO_x film. When $f(O_2) = 20\%$, the component of sulfur decrease further, and Mo⁴⁺ and Mo⁶⁺ decrease to 33.4 and 29.0%, respectively. However, Mo⁵⁺ increases to 37.6%, which is related to oxygen deficiencies¹⁹ and could result in more serious distortion in the mixed-valent molybdenum film. When further increase $f(O_2)$ to 30%, XPS analysis shows that the Mo⁶⁺ is the dominant oxidation state with a dominant Mo 3d doublet observed with a 3.2 eV spinorbit splitting between Mo⁶⁺ $3d_{5/2}(232.8 \text{ eV})$, and Mo⁶⁺ $3d_{3/2}$ (236.0 eV) bands, which agrees well with the Mo⁶⁺ species characteristic of stoichiometric MoO₃⁴⁰ (Figure 1e). In this case, it is difficult to find the characteristic peak of S 2p (Figure 1g), and thus MoS₂ and MoO₂ are almost oxidized completely to MoO₃. Therefore, the modulation of the constituent of molybdenum oxides by sulfur doping can be achieved with controlling $f(O_2)$ during deposition. In other words, the electronic properties of S-MoO₃ film could be tuned by sulfur doping, which could affect the properties of OSC used S-MoO₃ as AIL.

A referenced MoO₃ film prepared according to the literature³⁷ was analyzed by XPS too (Figure 1f). It is found that two molybdenum oxidation states, Mo⁵⁺ and Mo⁶⁺, exist in the film, and the peaks are at 231.1 (Mo⁵⁺ 3d_{5/2}), 232.8 (Mo⁶⁺ 3d_{3/2}), and 235.9 (Mo⁶⁺ 3d_{3/2}) eV, respectively. The Mo 3d doublets are observed with a 3.1 eV spin-orbit splitting between the Mo 3d_{3/2} and Mo 3d_{5/2} bands (Table 1), which is in agreement with the results reported by Greiner¹⁹ and Wagner.⁴⁰ The electronic band structure of MoO₃ is highly dependent on the molybdenum cation oxidation states.⁴² The dominant defect in MoO₃ is oxygen vacancies, as a result of thermodynamic requirements, which causes Mo⁵⁺ cations to form in the film. Certainly Mo⁵⁺ cations are also present because of a non-zero concentration of Ovacancies.¹⁹ MoO₂ is the lowest stable molybdenum oxide, and

it contains Mo⁴⁺ cations. But here, its characteristic peaks are not found in the XPS spectra.

The WF is a property that is difficult to control due to its extreme sensitivity to a number of factors, such as surface defects, chemical state and the presence of adsorbates.⁴² The transition-metal oxide film is a multivalent complex. Then, the multiple cation oxidation states have a great influence on its WF. Figure 2 is the UPS spectra of S-MoO₃ and MoO₃ films,

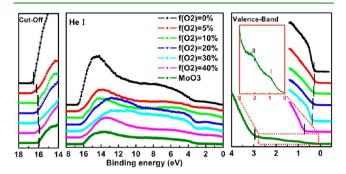


Figure 2. UPS spectra of S-MoO₃ film on ITO substrate. The full UPS spectra using He I radiation (center), secondary-electron cutoff (left), and the valence-band region (right) are included. The inset in the valence-band region (right) is the expanded view of shallow valence features. The gap state resulting from the oxygen vacancy defects is labeled I and II. A referenced MoO₃ film was also prepared according to the parameters in the literature.³⁷

and the left shows the spectra of secondary electron cut-off that are used for determining WF (Table 1). Kim's group reported that MoS_2 has the WF of 4.36–4.52 eV.⁴³ In our work, the WF of MoS_2 film deposited with $f(O_2) = 0\%$ at 423K shows a bigger WF of 4.70 eV. This may be due to the presence of molybdenum oxidation state Mo^{5+} in the film.

The increasing of WF is likely the result of the different crystal structure and high electro-positivity cation in the sample. When $f(O_2) = 5\%$, the WF of S-MoO₃ is 5.00 eV. As $f(O_2)$ increases, sulfur atom decreases (Figure 1g), low-valence-state MoS₂ is decomposed, and some molybdenum is oxidized to higher valence state cations Mo⁵⁺ and Mo⁶⁺. These result in the slight increase of the WF of the S-MoO₃ films, which could be seen as the results of the constituent of molvbdenum oxides modulated by sulfur doping. When $f(O_2) = 40\%$, MoO₃ fully occupies the sample, and it is difficult to find the peak of S 2p. And the film's WF increases to 5.24 eV, which is according to 5.20 eV reported by Hammond.¹⁸ For the referenced MoO₃ film, WF of 5.18 eV is lower that of the S-MoO₃ film deposited with $f(O_2) = 40\%$, because 5.4% of low-oxidation-state Mo⁵⁺ cations exists in the film. These results show that small changes in stoichiometry give rise to significant changes in WF. Therefore, it is concluded that sulfur doping can modulate the constituent of molybdenum cations, whose electropositivity can affect the WF of films. And the higheroxidation-state cations possess higher electro-positivity, and the corresponding film has greater WF. However, occupied defect states within the band-gap that act as donor levels affect the Fermi level position,⁴² but there are no data to certify this conclusion.

The expanded valence spectra are shown in the right of Figure 2. Stoichiometric MoO_3 contains only Mo^{6+} cations, and its valence band maximum is mainly composed of O 2p states.⁴⁴ The O 2p band can be clearly distinguished in all UPS spectra, centered at ~4.0 eV. The shallow valence features are shown in

the inset of Figure 2. There are two distinct gap-state features, labeled I and II. These states are known to arise in MoO₃ with O-deficiency, becaue of electrons occupying the metal dband.¹⁹ When Mo⁶⁺ is reduced, excess electrons move into the low-lying Mo 4d orbitals,45 and give rise to donor states within the MoO₃ band gap. Then, O-vacancy defects cause Mo⁵⁺ to appear, which results in the peak centered at ~ 0.9 eV (binding energy) in the valence-band photoemission spectra (labeled I). After a certain vacancy concentration, Mo⁴⁺ cations begin to form (labeled II), which leads to the peak centered at ~ 2.1 eV (binding energy). These are shown that the oxygen vacancies cause Mo⁵⁺ and Mo⁴⁺ cations to form in MoO₃, and result in a partially occupied Mo 4d-band within the MoO₃ band gap. These gap states act as n-type dopants and push MoO₃'s Fermi level very close to the conduction band minimum.^{19,42} Therefore, MoO₃ film was made into an n-type semiconductor.

S-MoO₃ film is a multivalent complex with Mo⁴⁺, Mo⁵⁺, and Mo⁶⁺ states, its valence band maximum is at binding energies ranging from about 0.2 to 0.7 eV. Mo⁵⁺ and Mo⁴⁺ cation states have a large proportion, and are not seen as the defect states existed in the films. Mo^{4+} state is made up of MoO_2 and MoS_2 . The valence band of MoO₂ is composed of O 2p states with some mixing with the Mo 4d states.⁴⁴ Especially, Mo 4d orbitals are the mainly parts of its valence band maximum. The top of the valence band in MoS₂ consists of Mo 4d- and S 2p- type contributions.^{46,47} The Mo 4d band of Mo⁵⁺ resulted from Ovacancy defects has also contribution to valence band of the complex. Then, these orbitals overlap interrelation can pull valence band close to S-MoO₃'s Fermi level. Thus, S-MoO₃ film shows a p-type conductive characteristic. For S-MoO₃ films deposited with $f(O_2) = 30-40\%$, their major constituent is MoO₃, which also shows the p-type conductive mechanism. Therefore, metal cations and sulfur have the dominating influence on the mixed molybdenum film's WF, and can tune the WF of this compound.

Figure 3a shows the transmittance for the ca. 20 nm S-MoO₃ films deposited with different $f(O_2)$ at 423K, along with the spectrum for a 10 nm MoO₃ film used as a reference. These thicknesses of the films were obtained by optimized performance in OSCs with them as AILs. All of the films are semitransparent that can be observed by naked eyes. The MoS₂ film can be obtained with $f(O_2) = 0\%$, and it exhibits a transmittance of 23% in the range of 330-400 nm, and the transmittance increases almost linearly from 400 to 800 nm. At 800 nm, the transmittance of the MoS_2 film is about 60%. When the $f(O_2)$ increases from 5 to 40%, the transmittance of the films also increases, and the films are more than 70% transparent across the entire visible and NIR spectra. As expected, the film deposited with $f(O_2) = 40\%$ shows the highest transmittance (84% in the range of 440-800 nm). All of the S-MoO₃ films are more transparent than the MoO₃ film in the wavelength range from 300 to 390 nm, and the wavelength range between 490 and 690 nm, the film S-MoO₃ deposited with $f(O_2) = 40\%$ is also more transparent than the MoO₃ film. These results suggest that S-MoO₃ film is a promising AIL candidate of OSC in terms of its transmittance.

Quantitative values of band-gap energy $(E_{\rm g})$ are evaluated using the relation⁴⁸

$$\alpha = \frac{A}{hv} (E - E_{\rm g})^n \tag{1}$$

Where A is the band edge constant, hv is the incident photon energy, and the exponent n depends on the kind of optical

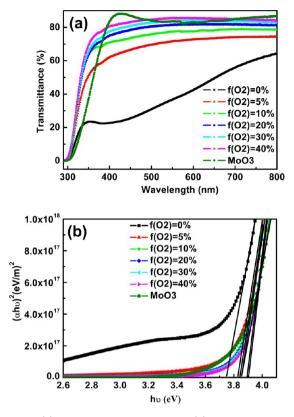


Figure 3. (a) Transmission spectra and (b) the dependence of $(\alpha h v)^2$ on hv for the S-MoO₃ films deposited with different $f(O_2)$ at 423 K. The thickness of these thin films is ca. 20 nm.

transition. For crystalline materials, n can take values 1/2, 3/2, 2, or 3 for direct allowed, direct forbidden, indirect allowed and indirect forbidden transitions, respectively.⁴⁸ The best linear fit for $(\alpha hv)^2$ versus hv curve is obtained for n = 1/2 for all films indicating a direct allowed transition in these films. Figure 3b presents the variation of $(\alpha hv)^2$ versus hv for the S-MoO₃ films deposited at different $f(O_2)$, along with the MoO₃ film. The optical E_g has been evaluated by extrapolating the linear portion of the curve to the energy axis and the corresponding values with respect to the substrate temperature are given in Table 2. The direct band-gap of the S-MoO₃ films increases from 3.75 to

Table 2. Summary of the energy level landscape determined from UPS (He I) and optical absorption spectra for S-MoO₃ films deposited with different $f(O_2)$ at 423K. And a referenced MoO₃ film was prepared according to the parameters in the literature ³⁷ in this study. The notations in the table E_g , WF, IE, IE_{GS}, and EA, denote the electronic band-gap, work function, valence band ionization energy, sub-gap state ionization energy (IE), and electron affinity (EA), respectively. The value of EA is obtained from E_g and IE

$f(O_2)$ (%)	$E_{\rm g}~({\rm eV})$	WF (eV)	IE (eV)	$IE_{GS}(eV)$	EA (eV)
0	3.75	4.70	4.97		1.22
5	3.84	5.00	5.27		1.43
10	3.86	5.11	5.40		1.54
20	3.89	5.10	5.45		1.56
30	3.90	5.15	5.52		1.62
40	3.91	5.24	5.96		2.05
MoO_3	3.85	5.18	8.12	6.13/7.24	4.27

3.91 eV with $f(O_2)$ increasing from 0 to 40%. This result is consistent with the variation of transmittance of the S-MoO₃ films. This indicates that sulfur doping can tune the band gap of MoO₃.

Although the E_g of single layer MoS₂ is known to be 1.8 eV for direct type, the bulk MoS₂ has recently been reported to exhibit an indirect band-gap of ~0.6–1.3 eV.^{47,49–52} So *n* can take value 2 for indirect allowed transitions, the band-gap from the curve of $(\alpha h v)^{1/2}$ versus hv for MoS₂ film (not show here) is 0.8 eV, which is in accordance with the theoretical study.^{47,49–52} For direct allowed transitions, the E_g is 3.75 eV, which is the cause that molybdenum oxidation states exist in the film. For MoO₃ film, 3.85 eV band-gap is smaller than that of the S-MoO₃ films with deposition condition $f(O_2) = 10-$ 40%. From the above analysis of optical properties, S-MoO₃ and MoO₃ thin films both satisfy transparency requirement of AILs for OSCs.

Figure 4 shows the AFM images and the square average roughness (RMS) of S-MoO₃ films deposited on ITO with different $f(O_2)$ at 423 K. And the RMS of MoS₂ film with $f(O_2) = 0\%$ is 6.59 nm. After 5% oxygen gas was introduced into chamber, its RMS decreases to 4.40 nm. When $f(O_2)$ increases from 10 to 30%, RMS of S-MoO₃ films slightly increases from 4.49 to 4.64 nm. This is indicated that the films become much smoother with O₂ introduced into chamber during deposition. The RMS of the referenced MoO₃ film is 4.27 nm. Although the major constituent of S-MoO₃ film is MoO₃, its RMS is bigger than that of the referenced one. It is suggested that the structure and topography of MoO₃ film can be changed by sulfur doping, which may significantly affect the properties of the film and their corresponding OSCs.

A normal structure of the conventional OSC that employs P3HT as the donor and PCBM as the acceptor material is ITO/AIL/P3HT:PCBM/Al. The energy level diagram of the cell is depicted in Figure 5. It is expected that charge carriers are transported to the opposite electrodes at the interlayer from this diagram. The Fermi level of S-MoO₃ films deposited with different $f(O_2)$ is close to the valence band of the films, as shown in Figure 5. And it can be inferred that these films serve as p-type semiconductor. However, the Fermi level of the MoO_3 film prepared by RF according to the literature³⁷ is near its conduction band, and exhibits n-type conductivity. And the n-type film with deep energy levels could achieve hole selectivity by its gap states below the Fermi level, not its valence band.²⁴ As noted above, the films deposited by the above two methods were chosen as the AILs of OSCs. The J-Vcurves of OSCs are presented in Figure 6, and their photovoltaic performance is summarized in Table 3.

An open-circuit voltage $V_{\rm OC}$ of 0.511 V, a short-circuit current density $J_{\rm SC}$ of 6.33 mA/cm², a fill factor FF of 33.5%, and a corresponding PCE of 1.08% are obtained from the OSC used MoS₂ deposited with $f(O_2) = 0\%$ at 423 K as AIL. When S-MoO₃ film has been deposited with $f(O_2) = 5\%$, the corresponding $V_{\rm OC}$, $J_{\rm SC}$, FF, and PCE of OSC are improved to 0.619 V, 8.31 mA/cm², 58.0%, and 2.98%. The OSC with S-MoO₃ film deposited with $f(O_2) = 10\%$ has better performance. And the PCE of 3.69% is recorded for the device with $V_{\rm OC}$ 0.630 V, $J_{\rm SC}$ 10.05 mA/cm², and FF 58.3%. With $f(O_2)$ increasing from 20 to 40%, the properties of OSCs show relatively poor performance, and there is a small decrease in $V_{\rm OC}$ from 0.630 to 0.619 V, $J_{\rm SC}$ decreases from 10.05 to 9.67 mA/cm², and FF changes very little. For the OSC used MoO₃ as AIL, the $V_{\rm OC}$, $J_{\rm SC}$, FF, and PCE is 0.613 V, 9.68 mA/cm²,

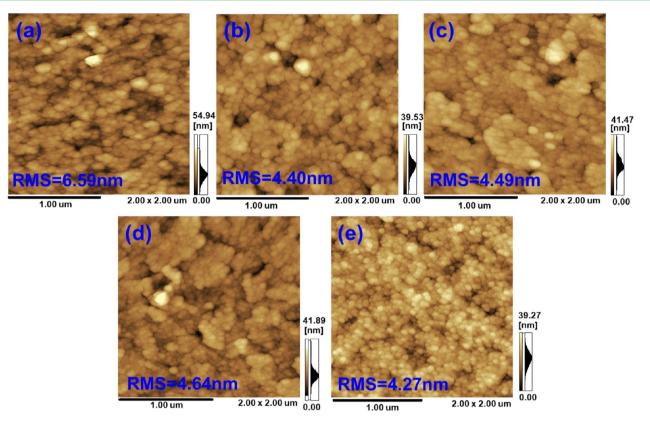


Figure 4. AFM images and the square average roughness (RMS) of S-MoO₃ films deposited on ITO substrate with different $f(O_2)$ at 423 K: (a) 0, (b) 5, (c) 10, and (d) 30%, and (e) referenced MoO₃ film. The thickness of these films in the samples is ca. 100 nm.

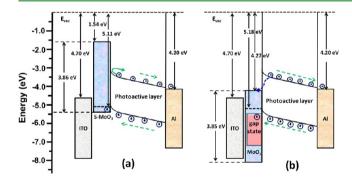


Figure 5. Schematic energy level diagrams of device components referenced to the vacuum level. (a) S-MoO₃ film deposited with $f(O_2) = 10\%$, (b) MoO₃ film prepared according to the parameters in the literature.³⁷

55.2%, and 3.28%, respectively, which is lower than those of the OSC with S-MoO₃ as AIL deposited with $f(O_2) = 10-40\%$, which could result from sulfur doping.

It has been reported that the $V_{\rm OC}$ is governed by HOMO of donor and the lowest unoccupied molecular orbital (LUMO) levels of acceptor,^{4,53} which can't be used to explain the enhancement of $V_{\rm OC}$ in our case. The large WF of AIL is expected to induce spontaneous charge transfer from an organic semiconductor to AIL, in accordance with the charge transfer theory.^{54,55}

The low charge extraction barriers at the AIL/PAL interfaces are believed to be a result of favorable energy level alignment between AIL and organic semiconducting molecules. This is a result of the AIL's deep electronic energy levels and high WF value that allow facile charge transfer from the HOMO of an

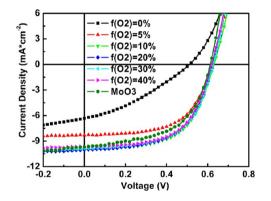


Figure 6. The illuminated J-V characteristics of the OSCs used S-MoO₃ films (ca. 20 nm) as AILs deposited with different $f(O_2)$ at 423 K. Olive hexagon line denotes the illuminated J-V characteristic of device using 10 nm MoO₃ film as AIL .

organic molecule to the anode. The high $V_{\rm OC}$ suggests a good band alignment between PAL and the electrodes, and the slightly lower $V_{\rm OC}$ is obtained because of mismatched WF between MoS₂ and PAL.⁴³ From UPS and band-gap results, MoS₂ thin-films are not suitable for interfacial layers due to WF values that are slightly mismatched with P3HT (or PCBM) and band-gap values that is small for a direct band-gap of singlelayer MoS₂ (1.8 eV) or an indirect band-gap of bulk MoS₂ (1.2 eV).⁵⁶ As $f(O_2)$ increases, higher electro-positivity cations exist in these films, which can increase the mixed oxide molybdenum films' WF. Then, the $V_{\rm OC}$ of corresponding OSC increases too. With $f(O_2)$ increasing from 0 to 40%, the lower-valence-state molybdenum is oxidized to higher-valence-state one, and the VCEP (VCEP represents the statistic value of the AIL cations'

Table 3. Device Operation Parameters for Devices Using S-MoO₃ films (ca.20 nm) as AILs Deposited with Different $f(O_2)$ at 423 K in This Study^{*a*}

f(O ₂) (%)	$R_{\rm S} \left(\Omega \atop {\rm cm}^2 \right)$	$R_{ m p} \left(\Omega \atop { m cm}^2 ight)$	$V_{ m oc}$ (V)	$J_{\rm sc}$ (mA/cm ²)	FF (%)	PCE (%)
0	55.4	267	0.511	6.33	33.5	1.08
5	15.9	861	0.619	8.31	58.0	2.98
10	16.0	1881	0.630	10.05	58.3	3.69
20	16.2	769	0.625	10.01	57.9	3.62
30	13.0	554	0.625	9.86	58.0	3.57
40	17.0	408	0.619	9.67	58.1	3.48
MoO_3	19.5	400	0.613	9.68	55.2	3.28

^{*a*}A reference solar cell with ca.10 nm MoO3 as AIL was fabricated. There are 20 samples for each device, and there are about 140 samples prepared in this study. The errors of PCEs are $\pm 0.22\%$.

electro-positivity, and it is the product of valence and the corresponding content of molybdenum oxidation states.) keeps an increasing trend from 4.26 to 6.00 (Table 1), which leads to the slight increase in the WF of the S-MoO₃ films and the higher $V_{\rm OC}$ of OSC. However, when S-MoO₃ film is deposited with $f(O_2) = 40\%$, the corresponding $V_{\rm OC}$ decrease to 0.619 V.

The proper amount metal cation can affect the properties of OSC. The proper amount of Mo^{5+} existed in S-MoO₃ film is benefited to charge transfer. We suspect the excessive Mo^{5+} could increase the charge extraction barriers that result from more serious distortion and vacancies in the mixed molybdenum film. At $f(O_2) = 20\%$, 37.6% Mo^{5+} may be excessive for the S-MoO₃ film as AIL of OSC. The serious distortion could play a scattering effect during hole-transferring, and increase the contact resistance at the interface of AIL/PAL (or anode/AIL), which can reduce its ability of collecting hole and share the V_{OC} of OSC. As a result, the V_{OC} and J_{SC} of OSC decrease to 0.625 V and 10.01 mA/cm², respectively.

Phase segregation of donor towards anode and acceptor towards cathode in OSCs can offer the advantages of efficient charge transport and enhanced electrode selectivity, 57,58 which can effectively decrease current leakage or avoid electrical shorts to improve properties of OSC. Specifically, it is desirable for OSC that a series resistance (R_s) (defined by $R_s = [\Delta V/$ $\Delta J]_{I=0}$, calculated from J-V characteristics) approaches zero and a shunt resistance (R_p) (defined by $R_p = [\Delta V / \Delta J]_{V=0}$, calculated from J-V characteristics) approaches infinity. Besides annealing treatment, the substrate with AIL has a great impact on the vertical phase segregation of PAL.⁵⁸ The proper amount sulfur existed in the films exhibits inevitably the affinity with the sulfonic acid groups of P3HT, which benefits the phase vertical separation of PAL⁶¹ to improve the device characteristics including $V_{\rm OC}$, the shunt resistance and FF of OSC. And excessive or too small amount of sulfur cannot get this function. Sulfur doping has almost no effect on R_s of OSCs. But, when $f(O_2)$ increases from 0 to 10%, the sulfur in the film decreases sharply, and the R_p of OSCs increases from 267 to 1881 Ω cm². The bigger R_p is suggested that the phase separation of PAL is better, and the corresponding OSCs show better properties. With $f(O_2)$ increasing further, the sulfur characteristics in XPS spectra vanishes (Figure 1g). And the $R_{\rm p}$ decreases and the corresponding OSCs show poor properties. So the proper amount sulfur existed in the films could affect the properties of OSC. When $f(O_2) = 10\%$, the corresponding OSC shows the biggest $V_{\rm OC}$, $R_{\rm p}$, and FF with proper amounts of sulfur existed in AIL (Table 3).

Figure 7 shows the plots of the incident photon conversion efficiency (IPCE) spectra versus the wavelength of the incident

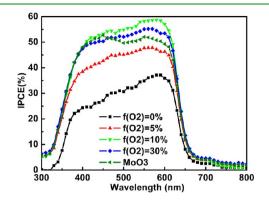


Figure 7. IPCE spectra of the devices using S-MoO₃ films (ca. 20 nm) as AILs deposited with different $f(O_2)$ at 423 K in this study. Olive prism line represents the IPCE characteristic of the referenced OSC using MoO₃ (ca. 10 nm) as AIL.

light for the devices used S-MoO₃ films as AILs. The IPCE of the referenced device using MoO₃ film as AIL is also shown here. We can see that all OSCs have an increased IPCE in the 330–680 nm. When $f(O_2)$ decreases, more and more MoS₂ may exist in the film. MoS₂ film has ca. 1.2 eV band-gap,^{43,62,63} which is not suitable as a AIL of conventional OSC. However, the MoS₂ film obtained with $f(O_2) = 0\%$ exhibits the transmittance with increasing linearly from in the range of 400-800 nm, the corresponding OSC shows the photovoltaic properties, and its IPCE also increases linearly from 22 to 37% in the range of 370–600 nm. For $f(O_2) = 5\%$, the IPCE also shows the characteristic with increasing linearly, and the corresponding J_{SC} increases to 8.31 mA/cm². The maximum IPCE is about 60% at 600 nm for the OSC with S-MoO₃ film deposited with $f(O_2)=10\%$ at 423 K, and the J_{SC} is the biggest 10.05 mA/cm^2 , which also demonstrated that the proper amount metal cation and sulfur can cause more effective holetransporting for improving the solar cell performance. When the $f(O_2)$ increases further, the IPCE decreases, the J_{SC} decreases accordingly to 9.86 mA/cm². It is concluded the $J_{\rm SC}$ change agrees with the IPCE of the fabricated devices. Besides that the IPCE is related with the absorption of PAL, it is also affected by the optical properties of AIL.

For p-type semiconductor S-MoO₃ films, there is no sub-gap states found at the valence band edges (shown in the right of Figure 2). Hole-transfer through the valence band can effectively avoid the recombination with electron. And the smaller EA (higher conduction band) could make them for better electron blocking (Figure 5). Therefore, J_{SC} of the reference OSC is lower than that of OSC with S-MoO₃ as AIL. MoO₃ is n-type conductivity (its Fermi level is near the conduction band (Figure 5b) originated from intrinsic oxygen vacancies that is too small to be accurately determined by XPS/ UPS. Then, the two sub-gap states (at 6.13 eV and 7.24 eV) at the valence band edges participates in hole transfer, ^{19,24,42} and the conduction band of MoO3 can effectively assist in recombination of photo-generated charges in the absence of the electron limiting barrier. Instead electrons are transported from ITO to the MoO₃/organic interface through MoO₃ gap states with subsequent recombination with holes. For the conduction band of MoO₃, it is too low to block electrons and effectively avoid the recombination of photo-generated to

charges. Although the WF of MoO₃ prepared by us according to the fabrication conditions of literature³⁷ is almost the same as that of S-MoO₃ films deposited with $f(O_2) = 10-40\%$, J_{SC} of the reference OSC is lower than that of OSC with S-MoO₃ as AIL. Therefore, S-MoO₃ is better suitable as an efficient AIL.

CONCLUSIONS

In summary, S-MoO₃ films by RF sputtering and OSCs with S-MoO₃ AIL have been studied. We demonstrate that $f(O_2) = 10\%$ and ca. 20 nm S-MoO₃ layer are the best condition for OSC, and PCE up to 3.69% has been achieved. The WF of MoO₃ can be modulated with proper amount of metal cations and sulfur doping, and S-MoO₃ film was shown to be a p-type semiconductor. The smaller EA could better block electron, which can effectively avoid the recombination with electron when holes transfer through the valence band. It is clearly demonstrated that a simple and efficient sulfur doping into MoO₃ is a useful method to tune hole-transport properties and to further open new avenues for the modification of interfacial materials.

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Notes

The authors declare no competing financial interest.

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